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PATENT  
Attorney Docket No.: AVALUC-00301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2643  
Connie Blackburn et al. )  
Serial No.: 09/512,838 ) Examiner:  
Filed: February 25, 2000 ) TRANSMITTAL LETTER  
For: **BILLING SYSTEM AND METHOD** ) 162 North Wolfe Road  
  ) Sunnyvale, CA 94086  
  ) (408) 530-9700

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Assistant Commissioner of Patents  
Washington, D.C. 20231

JUN 24 2002

Technology Center 2600

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449, including reference contained thereon and Certification for Information Disclosure Statement Under 37 CFR § 1.97(e) for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Lucent Technologies Deposit Account No. 12-2325. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 06-11-02 By: 

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 06/12/02 By: T.B.Haverstock



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In re Application of: ) Group Art Unit: 2643  
Connie Blackburn *et al.* ) Examiner:  
Serial No.: 09/512,838 ) **CERTIFICATION UNDER 37 C.F.R.**  
Filed: February 25, 2000 ) **§1.97(e) FOR SUPPLEMENTAL**  
For: **BILLING SYSTEM AND METHOD** ) **INFORMATION DISCLOSURE**  
\_\_\_\_\_) **STATEMENT**  
\_\_\_\_\_) 162 North Wolfe Road  
\_\_\_\_\_) Sunnyvale, CA 94086  
\_\_\_\_\_) (408) 530-9700

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Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached two (2) references contained in this supplemental information disclosure statement were cited within an International Search Report (ISR) mailed on May 15, 2002. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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HAVERSTOCK & OWENS LLP.

Date: 06/12/02 By: Natalie L. Kuhn

PATENT  
Attorney Docket No.: AVALUC -00301

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 6-11-02

By: Thomas B. Haverstock   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants



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                                  ) **STATEMENT**  
                                  ) 162 North Wolfe Road  
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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- US Patent No. 5,339,352;
- US Patent No. 6,381,325 B1.

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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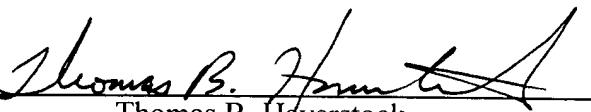
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PATENT  
Attorney Docket No.: AVALUC-00301

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 6 - 11 - 02

By:   
Thomas B. Haverstock  
Reg. No.: 35,571

Attorneys for Applicant

|  |             |  |                                   |                        |
|--|-------------|--|-----------------------------------|------------------------|
| FORM PTO-1449<br>(Modified)  | JUN 19 2002 | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney Docket No.: AVALUC-00301 | Serial No.: 09/512,838 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(Use Several Sheets If Necessary)<br>(37 CFR § 1.98(b)) |             | Applicant: Connie Blackburn et al.                         |                                   |                        |
|  |             | Filing Date: February 25, 2000                             |                                   | Group Art Unit: 2643   |

## U.S. PATENT DOCUMENTS

| Examiner Initials |    | Serial / Patent Number | Issue Date    | Applicant / Patentee | Class | Subclass | Filing Date            |
|-------------------|----|------------------------|---------------|----------------------|-------|----------|------------------------|
|                   | AA | 5,339,352              | Aug. 16, 1994 | Armstrong et al.     | 379   | 58       | Oct. 1, 1992           |
|                   | AB | 6,381,352 B1           | Apr. 30, 2002 | Hanson               | 379   | 218.01   | Sep. 22, 1998          |
|                   | AC |                        |               |                      |       |          |                        |
|                   | AD |                        |               |                      |       |          |                        |
|                   | AE |                        |               |                      |       |          |                        |
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|                   | AI |                        |               |                      |       |          | Technology Center 2600 |
|                   | AJ |                        |               |                      |       |          |                        |

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

|  |    | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation |    |
|--|----|-----------------|------------------|-------------------------|-------|----------|-------------|----|
|  |    |                 |                  |                         |       |          | Yes         | No |
|  | AK |                 |                  |                         |       |          |             |    |
|  | AL |                 |                  |                         |       |          |             |    |
|  | AM |                 |                  |                         |       |          |             |    |
|  | AN |                 |                  |                         |       |          |             |    |
|  | AO |                 |                  |                         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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|   |                  |
|---|------------------|
| Examiner:   | Date Considered: |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |